

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF  
KARL-HEINZ ALTMANN  
INTERNATIONAL APPLICATION NO: PCT/EP2005/002756  
FILED: 15 MARCH 2005  
U.S. APPLICATION NO: Not Yet Assigned  
35 USC §371 DATE:  
FOR: EPOTHILONE DERIVATIVES

**MS: Amendment**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 of the international application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicant wishes to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

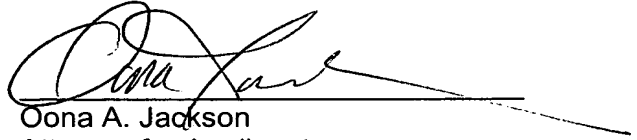
The asterisked references were cited in the International Search Report and since copies of said references were forwarded by the International Bureau, only copies of the non-asterisked references are enclosed. A copy of a British Search Report, dated July 16, 2004 is also enclosed. The references cited therein were also cited in the International Search Report.

10/591921

IAP9 Rec'd PCT/PTO 07 SEP 2006

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,



Oona A. Jackson  
Attorney for Applicant  
Reg. No. 48,152

Novartis  
Corporate Intellectual Property  
One Health Plaza, Building 104  
East Hanover, NJ 07936-1080  
(862) 778-7852

Date: 9/7/06

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

IAP9 Rec'd PCT/JP/00-07 SEP 2006  
ATTY. DOCKET NO.  
33683-US-PCT  
APPLICATION NO.  
Not Yet Assigned  
APPLICANT  
Karl-Heinz Altmann  
FILING DATE  
Group

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	6,441,186*	8/27/06	Nicolaou et al.			
	AB	2004/0039026	2/26/04	Nicolaou et al.			
	AC	6,380,394	4/30/02	Nicolaou et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM	99/28324*	6/10/99	WO			<input type="checkbox"/>	<input type="checkbox"/>
	AN	00/37473*	6/29/00	WO			<input type="checkbox"/>	<input type="checkbox"/>
	AO	2004/014919	2/19/04	WO			<input type="checkbox"/>	<input type="checkbox"/>
	AP	03/078411	9/25/03	WO			<input type="checkbox"/>	<input type="checkbox"/>
	AQ	03/018002	3/6/03	WO			<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	AR	Nicolaou et al., "Designed epothilones: Combinatorial synthesis, tubulin assembly properties, and cytotoxic action against taxol, resistant tumor cells," Angewandte Chemie, International Edition, Verlag Chemie, Weinheim, DE, Vol. 36(19), pp. 2097-2103 (1997)*
	AS	Nicolaou et al., "Chemical synthesis and biological evaluation of novel epothilone B and trans-12, 13-cyclopropyl epothilone B analogues," Tetrahedron, Vol. 58(32), pp. 6413-6432 (2002)*
	AT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

IAP9 Rec'd PCT/PTO 07 SEP 2006  
ATTY. DOCKET NO. 33683-US-PCT  
APPLICATION NO. 10/591921  
Not Yet Assigned  
APPLICANT  
Karl-Heinz Altmann  
FILING DATE  
Group

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	BA						
	BB						
	BC						
	BD						
	BE						
	BF						
	BG						
	BH						
	BI						
	BJ						
	BK						
	BL						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO	
	BM	98/25929	6/18/98	WO			<input type="checkbox"/>	<input type="checkbox"/>
	BN	97/19086	5/29/97	WO (English equivalent is US 6,288,237 )			<input type="checkbox"/>	<input type="checkbox"/>
	BO						<input type="checkbox"/>	<input type="checkbox"/>
	BP						<input type="checkbox"/>	<input type="checkbox"/>
	BQ						<input type="checkbox"/>	<input type="checkbox"/>

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	BR	
	BS	
	BT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.